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1 A P P E A R A N C E S:

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24  
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P R O C E E D I N G S

\* \* \* \* \*

THE COURT: So everybody has now rested. The presentation of evidence is over. We have closing arguments left. We're splitting them up over two days. You have two long days coming up, but critically important days from the perspective of the government and the defendants. Although the closing arguments are not evidence, it's their last opportunity to address you, and it's important to them.

02:56 So in an effort both to make your days a little bit shorter and to make sure you are completely fresh when you're hearing their closing arguments, I'm going to start my closing instructions now. And that really is the idea of it, is to give you some information now but to let you start fresh with closing arguments tomorrow rather than listening to me. My closing instructions come in two parts. They're sort of general instructions, which I'm going to give you now, and then there's the actual law on the specific charges in the indictment that I would give you at the end of the closing arguments.

02:56 So I'm going to do that now. You will have a written copy of these instructions in the jury room with you. We'll make enough copies for all of you. Sort of the quid pro quo on that, because I'm giving you a written copy, I have to basically read it to make sure that what I give you in the jury

1 room and what I deliver to you in the courtroom is the same.  
2 So I'm going to start that now. Then you'll be released for  
3 the day. Our plan is to start at 10:00 tomorrow morning and at  
4 9:00 on Friday morning. Those times are in many ways designed  
5 so there's no interruptions during the closings. The closings  
6 won't be interrupted by a lunch break or anything like that.  
7 There may be a break in between closings, but you'll basically  
8 hear each closing as a single unit. So 10:00 tomorrow and 9:00  
9 on Friday.

02:57 10 My preliminary instructions. It is your duty as  
11 jurors to find the facts from the evidence admitted in this  
12 case. You will then apply the law as I give it to you to the  
13 facts as you find them. You must follow the law as I explain  
14 it to you, whether you agree with that law or not. Regardless  
15 of any opinion you may have as to what the law should be, it  
16 would violate your sworn duty as a juror in this case to base a  
17 verdict on any view of the law other than that given in my  
18 instructions. You must decide the case solely on the evidence  
19 before you and according to the law.

02:58 20 Counsel and witnesses may have quite properly referred  
21 to some of the applicable rules of law in the course of trial.  
22 There has been evidence as to what witnesses and other persons  
23 communicated about the law and its requirements. If however  
24 any difference appears to you between the law as stated by  
25 counsel or witnesses or otherwise reflected in the exhibits and

1 the law as stated by the Court, you are to be governed by the  
2 instructions given to you by the Court.

3 In following my instructions you must follow all of  
4 them and not single out some and ignore others. They are all  
5 important.

6 You must not interpret these instruction or anything I  
7 may have said or done as a suggestion by me as to what verdict  
8 you should return. That is a matter entirely for you to  
9 decide.

02:58 10 Every person accused of a crime is presumed to be  
11 innocent unless and until his guilt is proved beyond a  
12 reasonable doubt. The presumption is not a mere formality. It  
13 is a fundamental principle of our system of justice.

14 The presumption of innocence means the burden of proof  
15 is always on the government to prove that a defendant is guilty  
16 of the crimes with which he is charged beyond a reasonable  
17 doubt.

18 This burden never shifts to the defendant. It is  
19 always the government's burden to prove each of the elements of  
02:59 20 the crimes charged beyond a reasonable doubt. A defendant does  
21 not have to prove that he is innocent or even present any  
22 evidence.

23 The presumption of innocence alone may be sufficient  
24 to raise a reasonable doubt and to require the acquittal of the  
25 defendant. You may not convict a defendant of any crime

1 charged against him if the government fails or is unable to  
2 prove every element of that crime beyond a reasonable doubt.

3 A reasonable doubt is a doubt that a reasonable person  
4 has after carefully weighing all of the evidence. It is a  
5 doubt that would cause a reasonable person to hesitate to act  
6 in a matter of importance in his or her personal life. Proof  
7 beyond a reasonable doubt must, therefore, be proof of a  
8 convincing character that a reasonable person would not  
9 hesitate to rely upon in making an important decision. A  
03:00 10 reasonable doubt may arise not only from the evidence produced,  
11 by also from a lack of evidence. Reasonable doubt exists when,  
12 after weighing and considering all the evidence, using reason  
13 and common sense, the jurors cannot say that they have a  
14 settled conviction of the truth of the charge.

15 You may not convict a defendant based on speculation  
16 or conjecture.

17 You may not convict a defendant if you decide that it  
18 is equally likely that he is guilty or not guilty. If you  
19 decide that the evidence would reasonably permit either of two  
03:00 20 conclusions, either that he is guilty of charged, or that he is  
21 not guilty, you must find the defendant not guilty.

22 You may not convict a defendant if you decide it is  
23 only probable, or even strongly probably, that he is guilty. A  
24 mere probability of guilt is not guilt beyond a reasonable  
25 doubt.

1           The law does not require that the government prove  
2     guilt beyond all possible doubt; proof beyond a reasonable  
3     doubt is sufficient to convict. There are very few things in  
4     this world that we know with absolute certainty, and in  
5     criminal cases the law does not require proof that overcomes  
6     every possible doubt.

7           Again, a defendant is presumed to be innocent, and the  
8     government bears the burden of proving him guilty beyond a  
9     reasonable doubt. If, after fair and impartial consideration  
03:01 10    of all of the evidence, you have a reasonable doubt as to a  
11    defendant's guilt, it is your duty to acquit him. On the other  
12    hand, if after fair and impartial consideration of all the  
13    evidence, you are satisfied beyond a reasonable doubt as to his  
14    guilt, you should vote to convict him.

15          Like all defendants, the defendants in this case have  
16    a constitutional right not to testify. No inference of guilt,  
17    or of anything else, may be drawn from the fact that a  
18    defendant did not testify, nor may the fact that he did not  
19    testify be discussed or be considered by you in any way in  
03:01 20    arriving at your verdict.

21          Your verdict must be based solely on evidence. It  
22    would be improper for you to base your verdict on anything that  
23    is not evidence.

24          You may not base your verdict on any personal  
25    feelings, prejudices, or sympathies you may have about either

1 defendant or about the nature of the crimes with which they are  
2 charged.

3 You may not consider or be influenced by any possible  
4 punishment that may be imposed on a defendant.

5 Again, your verdict must be based solely on the  
6 evidence and according to the law.

7 The evidence in this case consists of the sworn  
8 testimony of witnesses, both on direct and cross-examination;  
9 the exhibits that have been received into evidence; and any  
03:02 10 facts to which the parties have agreed or stipulated. You  
11 should consider all of the evidence, no matter what form it  
12 takes, and no matter which party introduced it.

13 Whether the government has sustained its burden of  
14 proof does not depend upon the number of witnesses it has  
15 called or upon the number of exhibits it has offered, but  
16 instead the nature and quality of the evidence presented.

17 Certain things are not evidence.

18 (1) Arguments and statements by lawyers are not  
19 evidence. The lawyers are not witnesses. What they said in  
03:02 20 the opening statements, or what they will say in their closing  
21 arguments, and at other times is intended to help you interpret  
22 the evidence but it is not evidence. If the facts as you  
23 remember them from the evidence differ from the way the lawyers  
24 have stated them, your memory of the facts should control.

25 (2) Questions by lawyers standing alone are not



1 evidence. Again, the lawyers are not witnesses. The question  
2 and the answer taken together are the evidence.

3 (3) Objections by lawyers are not evidence. Lawyers  
4 have a duty to their clients to object when they believe a  
5 question or an exhibit is improper under the rules of evidence.  
6 You should not be influenced by any objection or by my ruling  
7 on it, and you should not speculate or guess about what the  
8 answer might have been or what an exhibit might have said.

9 (4) Anything that I have struck or instructed you to  
03:03 10 disregard is not evidence.

11 (5) The indictment is not evidence.

12 (6) Anything you may have seen or heard when court  
13 was not in session is not evidence. You must decide the case  
14 solely on the evidence received at trial.

15 Evidence may take the form of either "direct evidence"  
16 or "circumstantial evidence." "Direct evidence" is direct  
17 proof of a fact, such as testimony from an eyewitness that the  
18 witness saw something. "Circumstantial evidence" is indirect  
19 evidence; that is, proof of a fact (or facts) from which you  
03:04 20 could draw a reasonable inference that another fact exists,  
21 even though it has not been proved directly.

22 A quick example. I see my kids with their hand in the  
23 cookie jar. That is direct evidence that they are about to  
24 take and eat a cookie. If I see them later, I have not seen  
25 them anywhere near the cookie jar, but I see their face covered

1 in chocolate and all the cookies are missing, that is  
2 circumstantial evidence that they did the same thing.

3 You are entitled to consider both direct and  
4 circumstantial evidence. The law permits you to give equal  
5 weight to both. It is for you to decide how much weight to  
6 give to any particular piece of evidence, whether direct or  
7 circumstantial.

8 Although you may consider only the evidence presented  
9 in the case, you are not limited to the plain statements made  
03:04 10 by witnesses or contained in the documents. In other words,  
11 you are not limited solely to what you saw and heard as the  
12 witnesses testified.

13 You are permitted to draw reasonable inferences from  
14 the facts, if you believe those inferences are justified in  
15 light of common sense and personal experience. An inference is  
16 simply a deduction or conclusion that may be drawn from the  
17 facts that have been established. Any inferences you draw must  
18 be reasonable, and based on the facts as you find them.  
19 Inferences may not be based on speculation or conjecture.

03:05 20 A particular item of evidence was sometimes received  
21 for a limited purpose only. That is -- and I instructed you --  
22 it can be used by you only for one particular purpose, and not  
23 for any other purpose. I told you when that occurred and  
24 instructed you on the purposes for which the item can and  
25 cannot be used.

1           You do not have to accept the testimony of any witness  
2   if you find that the witness is not credible. You must decide  
3   which witnesses to believe, considering all the evidence and  
4   drawing upon your common sense and personal experience. You  
5   may believe all of the testimony of a witness, or some of it,  
6   or none of it. You alone are the judges of the witnesses's  
7   credibility.

8           In deciding whether to believe the testimony of the  
9   witnesses, you may want to take into consideration such facts  
03:05 10 as their conduct and demeanor while testifying; any apparent  
11 fairness or unfairness they may have displayed; any interest  
12 they may have in the outcome of the case; any prejudice or bias  
13 they may have shown; their opportunities for seeing and knowing  
14 the things about which they have testified; the reasonableness  
15 or unreasonableness of the events that they have related to you  
16 in their testimony; and any other evidence that tends to  
17 support or contradict their versions of the events.

18           The testimony of a witness may be discredited or  
19 impeached by showing that he or she made statements that are  
03:06 20 inconsistent with his or her present testimony. If a witness  
21 made inconsistent statements about any significant matter, you  
22 have the right to distrust the testimony of that witness in  
23 other respects. You may reject all of the testimony of that  
24 witness or give it such credibility as you may think it  
25 deserves.

1 Sometimes, of course, people make innocent mistakes,  
2 particularly as to unimportant details; not every contradiction  
3 or inconsistency is necessarily important. Again, you alone  
4 are the judges of the witnesses' credibility.

5 You have heard the testimony of witnesses who  
6 testified under grants of immunity, and you've seen their  
7 immunity agreements.

8 "Immunity" means that a witness's testimony may not be  
9 used against him or her in any later criminal proceeding.

03:07 10 However, if the witness testifies untruthfully, he or she could  
11 be prosecuted for perjury or making a false statement, even  
12 though he or she was testifying under a grant of immunity.

13 Some people in this position are entirely truthful  
14 when testifying. Still, you should consider the testimony of  
15 immunized witnesses with particular care and caution. They may  
16 have had reason to make up stories or exaggerate what others  
17 did because they wanted to help themselves. You must determine  
18 whether the testimony of such a witness has been affected by  
19 any interest in the outcome of this case, any prejudice for or  
03:07 20 against the defendants, or by any of the benefits he or she has  
21 received from the government as a result of being immunized  
22 from prosecution.

23 As with any witness, it is for you to decide whether  
24 to accept the testimony of any witness, and what weight, if  
25 any, to give that testimony.

1           As I indicated at the beginning of the trial, you have  
2       been permitted to take notes, but some cautions apply. You  
3       should bear in mind that not everything that is written down is  
4       necessarily what was said. When you return to the jury room to  
5       discuss the case, do not assume simply because something  
6       appears in somebody's notes that it necessarily took place in  
7       court. Notes are an aid to recollection, nothing more; the  
8       fact that something is written down does not mean that it is  
9       necessarily accurate.

03:08 10           The numbers assigned to the exhibits are for  
11       convenience and in order to ensure an orderly procedure. You  
12       should draw no inference from a fact that a particular exhibit  
13       was assigned a particular number, or that there may be gaps in  
14       the number sequence.

15           This case, like many criminal cases, began with an  
16       indictment. You will have -- well, the indictment is not  
17       evidence or proof of guilt. The indictment is simply an  
18       accusation. It is the means by which defendants are charged  
19       with crimes and brought before this court.

03:08 20           An indictment may allege more than one charge against  
21       more than one defendant. When it does, the different charges  
22       are stated separately in what we call counts. The indictment  
23       in this case has fourteen counts.

24           The defendants are not on trial for any act or any  
25       conduct not specifically charged in the indictment.

1           There are two defendants on trial before you. You  
2 must, as a matter of law, consider each count of the indictment  
3 and each defendant's involvement in that count separately, and  
4 you must return a separate verdict on each defendant for each  
5 count in which he is charged. In reaching your verdict, bear  
6 in mind that guilt is personal and individual. Your verdict of  
7 guilty or not guilty must be based solely upon the evidence  
8 about each defendant. The case against each defendant, on each  
9 count, stands or falls upon the proof or lack of proof against  
03:09 10 that defendant alone, and your verdict as to any defendant on  
11 any count should not control your decision as to the other  
12 defendant.

13           Similarly, the defendants are charged in multiple  
14 counts of the indictment. You must consider each count  
15 separately, and you must return a separate verdict as to each  
16 count. Your verdict as to each count must be determined solely  
17 on the evidence, or lack of evidence, presented against each  
18 defendant on that count.

19           Finally, your verdict must be unanimous in order to  
03:10 20 convict either defendant as to any count. In other words, all  
21 of you must agree that a defendant is guilty as to a particular  
22 count in order to convict that defendant as to that count.

23           And that concludes the portion of the instructions  
24 that you're going to hear today. The parties are allowed an  
25 opportunity to comment on my instructions.

1 Does anybody want to be heard on what I've said so  
2 far?

3 MS. BLOOM: No, Your Honor.

4 MR. PINEAULT: No, Your Honor.

5 MS. KEARNEY: No, Your Honor.

6 THE COURT: Okay. So that is it for the day. You are  
7 at a place in the case where it is critically, critically  
8 important that you keep an open mind until you're all together  
9 deliberating in that jury room together. It's important that  
03:10 10 none of you start to set your views in stone until you hear my  
11 instructions, you hear their closings arguments and you hear  
12 what your fellow jurors have to say. I know it gets  
13 increasingly tempting as you hear more and more of the evidence  
14 to let your views solidify, but you must keep an open mind and  
15 not make any conclusions before everything has been presented  
16 to you, which is now the instructions and the closing  
17 arguments.

18 Again, don't talk to anybody about the case. You know  
19 a lot about the evidence in this case, and you may be even more  
03:11 20 susceptible than at earlier parts of the case to have other  
21 people's opinions of what you've heard have an impact on you.  
22 That must also be avoided. You now know what the evidence is,  
23 and maybe there's that one burning question that you wish  
24 someone had answered but they haven't, and to the extent that  
25 you are particularly tempted now to go look up that fact, you

1 must not.

2 As I've told you in these instructions, your verdict  
3 has to be based just on the evidence in this case, and you  
4 don't want to pollute that presentation of the evidence by  
5 letting extraneous things come in. So in the next couple of  
6 days the case will be yours. You won't have to keep an open  
7 mind. You will be free to discuss it with each other and  
8 shortly other people if you want to. But for the time being,  
9 please, please, please adhere to my instructions. They are  
03:11 10 very important. And I will see you all at 10:00 tomorrow  
11 morning for closing arguments.

12 (Jury exits, 3:11 p.m.)  
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1  
2 CERTIFICATE OF OFFICIAL REPORTER  
3

4 I, Kelly Mortellite, Registered Merit Reporter  
5 and Certified Realtime Reporter, in and for the United States  
6 District Court for the District of Massachusetts, do hereby  
7 certify that pursuant to Section 753, Title 28, United States  
8 Code that the foregoing is a true and correct transcript of the  
9 stenographically reported proceedings held in the  
10 above-entitled matter and that the transcript page format is in  
11 conformance with the regulations of the Judicial Conference of  
12 the United States.

13 Dated this 20th day of July, 2016.  
14

15 /s/ Kelly Mortellite  
16

17 \_\_\_\_\_  
18 Kelly Mortellite, RMR, CRR

19 Official Court Reporter  
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